

ALERT!


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ALERT #118

TO: Department Controllers, Fiscal Officers and Other Interested Parties

FROM: Clifford W. Hall 
State Controller

DATE: October 27, 1998

SUBJECT: *New State Controller Announced
FY97 Statewide Single Audit Available On-Line
Agency Contacts for the State Controller's Office Fraud Hotline
Fiscal Rule Hearing Scheduled*

New State Controller Announced

André Pettigrew, Executive Director of GSS, has announced the selection of Art Barnhart as the new state controller effective December 1, 1998. Mr. Pettigrew stated, "Art possesses tremendous leadership and professional capabilities and I believe that Art will make a meaningful contribution to the State Controller's Office, the department and the state." Cliff Hall, the outgoing state controller indicated he will work closely with Art during the next several months to ensure a successful transition. Please join us in welcoming Art as the new state controller and wish him every success.

FY97 Statewide Single Audit Available On-Line

The State of Colorado Statewide Single Audit report for the fiscal year ended June 30, 1997 is available on the State Controller's Reports & Publications web page at the following Internet address:
http://www.state.co.us/gov_dir/gss/acc/auditrpt/fy97/single/auditrpt.htm

The file is in Adobe Acrobat PDF format. If you do not have a viewer you can download one for free from the following Internet address: **<http://www.adobe.com/prodindex/acrobat/download.html>**

Alert #112, issued in May 1998, discussed the OMB Circular A-133 pass-through entity notification requirements. A-133 requires subrecipients to notify pass-through entities of the results of the subrecipient's A-133 audit whether or not there were audit findings or updates to prior audit findings related to the pass-through assistance. If there were audit comments or updates to prior audit findings, a state agency must send to the pass-through entity a reporting package containing the following four items:

- ♦ Financial statements and schedule of expenditures of federal awards;
- ♦ Summary schedule of prior audit findings;
- ♦ Auditor's report; and
- ♦ Corrective action plan.



These four items are included in the State Auditor's Office Single Audit Report. When no findings or updates to prior audit findings related to the pass-through assistance occur, a state agency may notify the pass-through entity of that fact in writing or provide the pass-through entity with the report.

Please inform pass-through entities of the availability of the report on the Internet. This should satisfy any requirements under A-133 to furnish copies of the report. Other requests for copies of this report may be satisfied by directing the requesting party to the electronic version on the Internet. Selected pages are easily viewed or printed from this PDF file and the quality is identical to the original. The State Auditor's Office has provided the State Controller's Office with a limited number of printed reports. If you need a bound copy you can request one from Todd Olson at 303-866-3811.

Agency Contacts for the State Controller's Office Fraud Hotline

State agencies with staff designated to receive information acquired through the hotline need to ensure that contact names, addresses, and phone numbers are current.

The State Controller's Office established a fraud reporting hotline in December 1997 (See Alert #107). The hotline was established to allow employees and other citizens to report alleged fraudulent acts involving state agencies and institutions of higher education.

The State Controller's Office provides information obtained through the hotline to the two designated contacts appointed by the agency. This is done to help restrict information of alleged wrongdoing to those with a need to know. Consequently, in order to send information promptly accurate names and addresses are important.

Please send any changes in writing or by fax (303-866-4233) to Ron Keller. If you have any questions, please call him at 303-866-3539.

Fiscal Rule Hearing Scheduled

A public hearing will be held on Wednesday, December 2, 1998 to consider adoption of changes to the Travel Appendices B and C of the State Fiscal Rules. Copies of the proposed changes will be available in November and sent out to all Controllers and Fiscal Officers.